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PATENT

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3/12/02
Date

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TECHNOLOGY CENTER R6700

Applicants: Mark Ortiz et al.
Serial No: 09/677,981
Filing Date: October 3, 2000
Art Unit: 3762
Examiner: Scott M. Getzow
Title: IMPLANTABLE HEART ASSIST DEVICES AND METHODS
Atty Docket: SPEN-08

Cincinnati, Ohio 45202

March 12, 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in

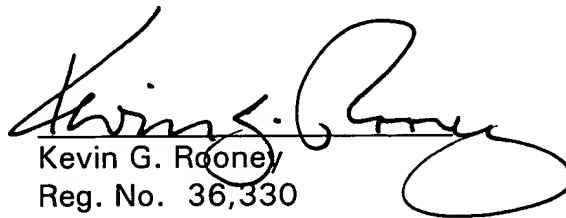
connection with the above-identified patent application. Copies of the cited references are enclosed herewith.

The Examiner is urged to consider the cited document and to make an independent evaluation of its teachings and materiality.

Applicants believe that this communication is being mailed before a first action on the merits and, therefore, no fee is due. If this is not the case, the Commissioner is authorized to charge deposit account 23-3000 for any required fees.

Respectfully submitted,

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